

GKN Driveline North America, Inc.
Auburn Hills Facility
3300 University Drive
Auburn Hills, MI 48326-2362
T +1 248 384 7718
F +1 248 384-7710
jennifer.brumbaugh@gkndriveline.com

### **FACSIMILE**

To

Company

Facsimile number

From

Date

Number of pages (inc)

**Subject** 

Missing Parts

**USPTO** 

(703) 746-4060

Jennifer M. Brumbaugh September 15, 2004

8

•

Application Number: 10/840,211, Filed May 5, 2004

Attorney Docket No. G00359/US

Please see attached Transmittal Form; Fee Transmittal; Declaration and Power of Attorney; and Notice to File Missing Parts associated with the captioned patent application.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO on September 15, 2004, to: (703) 746-4060.

Kathleen A Sheere

SEP 16 2004 OIPE/JCWS Amendment / Reply

After Final

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Firm

Individual name

Signature

Date

Response to Missing Parts under 37 CFR 1.52 or 1.53

Affidavits/declaration(s)

OIPE	Under the Paperwork Reduction Act of 1996, no person	ns ans enguire	Detect and Tender	oved for use throug nark Officer U.S. D ion unless it displa	
SEP 1 5 2004 uj			Application Number	10/840,211	
SEP 1 5 2004 W		TRANSMITTAL Filing Date			
	FORM First Named Inventor Gary				
	(to be used for all correspondence after initial	filing)	Art Unit	3681	
			Examiner Name	N/A	
	Total Number of Pages in This Submission	8	Attorney Docket Number	G00359/US	
1		ENCLOS	SURES (check all that apply	)	
	Fee Transmittal Form	Drawing	ri(s)	After All to Grou	

ork Reduction Act of 1996, no	) persons	Deniupen ena e	Patent and Trades	and All	PTC/SB/21 (08-03) use through 07/31/2008. OMB 0651-0031 for U.S. DEPARTMENT OF COMMERCE as it displays a valid OMB control number.	
ANSMITTAL FORM		Application Number 10/840,211  Filing Date May 5, 2004  First Named Inventor Gary Grabaum				
r all correspondence after	rinitial f	iling)	Art Unit	368	1	
			Examiner Name	NVA		
Pages in This Submission		8	Attorney Docket Number	Got	0359/US	
		ENCLOSI	IRES (check all that apply	)		
al Form		Drawing(	3)		After Allowance communication to Group	
ttached		Licensing	-related Papers		Appeal Communication to Board of Appeals and Interferences	
Reply	Petition				Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
Final	Petition to Convert a Provisional Application				Proprietary Information	
avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence				Status Letter	
Time Request	Terminal Disclaimer				Other Enclosure(s) (please identify below):	
donment Request	Request for Refund				Certification of Facsimile Transmission	
sclosure Statement		CD, Num	ber of CD(s)			
y of Priority						
Missing Parts/ pplication	Rem	AFK8 				
onse to Missing Parts r 37 CFR 1.52 or 1.53			,			
SIGNATUR	E OF	APPLICA	NT, ATTORNEY, OR AGENT	r		
Jennifer M. Brumban	gh (Re	g. No. 48,4	65)	)		
Jandy Bumbo						
September 15, 2004						
CEDTICICATE OF TRANSMICCIONISTALI INC						
CERTIFICATE OF TRANSMISSION/MAILING Is correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with irst class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the						
		w. t	zamano noi ratema, F.O.	~~ 14	~v, recalitula, vn ε≥313•1430 0Π the	

CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this co sufficient postage as first o date shown below:	prespondence is being tacsimile transmitted to the USPT class mail in an envelope addressed to: Commissioner to	O or deposited wi r Patents, P.O. Bo	th the United States Postal Service with ox 1450, Alexandria,VA 22313-1450 on the					
Typed or printed name	Kethleen A. Sheere							
Signature	Talfleen a. Specie	Date	September 15, 2004					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/S8/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Index the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	TR	AN	SMIT	TAL
1	for	FY	2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) \$130.00

Complete if Known					
Application Number	10/840,211				
Filing Date	May 5, 2004				
First Named Inventor	Gary Grabaum				
Examiner Name	N/A .				
Art Unit	3681				
Attorney Docket No.	G00359/US				

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	_
Check Credit card Money Other None				FEES		
Deposit Account:	Lama Foo	Entity Fee	Smal Fee	LEntity Fee	<del>-</del>	
Deposit Account 07-1360	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Number 07-1300	1051	130	2051		Surcharge - late filing fee or oath	130.00
Account Jennifer M. Brumbaugh	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name Jenniter IVI. Brumbaugh	1053	130	1053	130	Non - English specification	
The Director is authorized to: (oheck all that apply)	1812	2,520	1812	2,520	For filing a request for exparte reexamination	
Charge fee(a) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner	
Charge fee(s) indicated below, except for the filing fee	1051	110	2054		action  Extension for each within first month	
to the above-identified deposit account.	1251 1252	110 420	2251 2252	210	Extension for reply within first month  Extension for reply within second month	
FEE CALCULATION	1253	950	2253	475	_	
1. BASIC FILING FEE	I.	1.480	2254	740		
Large Entity   Small Entity   Fee Fee Fee Fee Fee Fee Fee Fee Fee Description	1255	,	2255	1.005	, <u>, , , , , , , , , , , , , , , , , , </u>	
Code (\$) Code (\$) Fee Paid	1401	330	2401	.,	Notice of Appeal	
1001 770 2001 385 Utility filing fee	1402	330	2402		Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1403	290	2403		Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1461		1451		Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	-	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1	1,330	2453			
		1,330	2501	685	Utility Issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND Fee from		480	2502	240	Design Issue fee	
Extra Claims below Fee Paid		640	2503	320	Plant Issue fee	
Total Ctaims	1460	130	1460	130	Petitions to the Commissioner	
Claims Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Statement	
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1204 86 2204 43 ** Reissue Independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20		900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Relssue claims in excess of 20 and over original patent		ertee (	specify)	,	of a design application	
SUBTOTAL (2) (\$) \$0.00	1	(.				
	CURTOTAL (D)					
"or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \$130.00					\$130.00	

SUBMITTED BY				Complete (	f applicable)
Name (Print/Type)	Jennifer M. Brumbaugh	Registration No. (Attorney/Agent)	48,465	Telephone	
Signature	Jamesell &	runba	X	Date	September 15, 2004

AVARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS On One 1450 Absauch, Viginia 22313-1450 www.naphops

APPLICATION NUMBER FILING OR 371 (c) DATE 10/840.211

FIRST NAMED APPLICANT

ATTORNBY DOCKET NUMBER

05/05/2004

Gary Grabaum

G00359/US

35758 GKN DRIVELINE NORTH AMERICA, INC 3300 UNIVERSITY DRIVE AUBURN HILLS, MI 48326

**CONFIRMATION NO. 9451 FORMALITIES LETTER** OC0000000137571891

Date Mailed: 09/09/2004



# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

09/17/2004 WASFAW1 00000030 071360

10840211

01 FC:1051

130.00 DA

A copy of this notice MUST be returned with the reply.

Page 2 of 2

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE